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Date: January 9, 2004

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Fax #: 703-872-9306

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Client Matter#: 23383-102

From: Kevin A. Oliver

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12/12/2003 16:39	617-832-7000	FOLEY HOAG LLP	PAGE 01/02
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Date: December 12, 2003			
To: Examiner Jean Lu	Fax #: 708-872-0908	Confirm:	
Client Matter#: 23883-132			
From: Kevin A. Oliver	Sender's Number: 1241	Join #: 9007	
Total Pages Sent (including Cover Sheet): 3		Office: Boston	
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Please find enclosed:			
1. Form PTO-413A; and,			
2. Continuation Sheet			
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1. Form PTOL-413A; and,
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PTOL-413A (08-03)  
Approved for use through 10/30/2004. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09/844968 First Named Applicant: Scannell  
Examiner: Le, Joan Art Unit: 2863 Status of Application: pending

**Tentative Participants:**

(1) Joan Le (2) Kerin Oliver  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: 12/19/2003 Proposed Time: 10:00 (AM/PM)

**Type of Interview Requested:**

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

#### Issues To Be Discussed

Issues (Rej., Obj., etc.)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej</u>	<u>1</u>	<u>Landers et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☒ Continuation Sheet Attached

**Brief Description of Arguments to be Presented:**

Landers does not teach threshold and/or alert (warning signal) mechanism.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

(Applicant/Applicant's Representative Signature)

(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**INFORMAL COMMUNICATION****PROPOSED MODIFICATION TO INDEPENDENT CLAIM 1**

1. (Currently Amended) A ~~computer~~ system to present ~~to a user interface~~ data about ~~an~~ at least one artificial structure in or over at least one hydrological feature, the system comprising:

at least one database for ~~receiving and~~ storing data about the at least one artificial structure, wherein the stored data includes structural data ~~about the structure~~ and is associated with one or more of the at least one artificial structure, where the at least one artificial structure is associated with at least one threshold;

at least one data source for providing the stored data about the structure;

~~a communications network for transmitting data about the structure from the at least one data source to the database or to the user interface and for transmitting from the database to the user interface;~~

~~the a user interface for presenting~~ at least one warning signal associated with the at least one artificial structure to the user data about the structure transmitted from the at least one database or the at least one data source based on a comparison of: (i) the stored data, and (ii) the at least one threshold associated with: the at least one artificial structure and the stored data.